COMPLIANCE SYSTEMS CERTIFICATE

Program Description

A robust compliance function will have a significant effect on an organization, positively impacting its daily operations and helping to mitigate risk. This certificate program will introduce you to the role of compliance within organizations and how it is maintained through internal rule systems.

Throughout this program, you will explore the rules of compliance and how they can be maintained sustainably for your organization. First, you will analyze the manner in which rules and policies serve an organization. Through this process, you will take away best practices on the elements of effective rule design and the use of policing techniques to ensure rules are followed. In anticipation of potential issues, you will delve into the process for investigating violations and consider the available responses to those violations. By the end of this certificate program, you will have developed an in-depth understanding of how to design, enforce, and continuously improve your own organizational compliance policies in a way that can benefit your business and your career.

The courses in this certificate program are required to be completed in the order that they appear.

Key Takeaways

- Map policy systems in your organization to their intended purposes and functions, and identify incongruities and failure points
- Create rules that serve their underlying purpose and are understandable to their target audiences
- Select and develop effective policing tools to monitor compliance
- Plan and conduct effective investigations in response to compliance system violations

What You'll Earn

- Compliance Systems Certificate from Cornell Law School
- 60 Professional Development Hours (6.0 CEUs)

Who Should Enroll

- HR professionals
- Compliance officers
- Finance and accounting professionals
- Health and safety managers
- Process control professionals
- Operations professionals
- Lawyers and legal professionals

Total Investment

- 3 months to complete all courses

How To Enroll

For more information on how to enroll, please visit Compliance Systems Certificate (https://ecornell.cornell.edu/certificates/human-resources/compliance-systems/).

Courses

Code	Title	Hours
eCornell LAW525	Rule Systems as Designed Systems	0
eCornell LAW526	Rule Design	0
eCornell LAW527	Rule System Training	0
eCornell LAW528	Policing of Rule Systems	0
eCornell LAW529	Investigation of Rule System Violations	0
eCornell LAW593	Responding to Violations and Improving the Rule System	0